



# **Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs**

First Quarter Fiscal Year 2015-16  
(July, August, September)

Submitted November, 2015



Barbara Palmer  
Director

Rick Scott  
Governor

## **Introduction**

The **Agency for Persons with Disabilities (APD)** administers Medicaid waivers providing supports to 31,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to 21,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of July through September 2015, about 1,400 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and another 11,000 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 9,000 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

## **Historical Overview**

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

## ***Glossary of Terms Used in Report***

**APD** - Agency for Persons with Disabilities

**CDC+ Program** - Consumer-Directed Care Plus Program

**iBudget Waiver** - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

**IFS** - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

## 1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments \*

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Jul-15	2,276	\$6,178,504	28,519	\$87,488,846	30,795	\$87,280,372.42
Aug-15	2,309	\$5,843,310	28,546	\$65,575,664	30,855	\$65,475,986.36
Sep-15	2,341	\$5,789,341	28,600	\$70,597,906	30,941	\$95,127,462.29

\* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

\*\*As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of November 1, 2015.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Jul-15	2,300	27,634	483	566	29,903
Aug-15	2,330	27,360	453	538	29,729
Sep-15	2,352	27,722	415	468	30,084

\*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2015.

## 1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		Count	Percent
Jul-15	30,795	17,871	58.03%
Aug-15	30,855	17,507	56.74%
Sep-15	30,941	17,340	56.04%

Note: Enrolled as of the first day of the month in which the services were received.  
Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2015.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services by Month of Service

Service Description	iBudget		
	Jul-15	Aug-15	Sep-15
Adult Dental Services	901	935	1,091
Behavior Analysis - Level 1	1,031	986	918
Behavior Analysis - Level 2	681	665	574
Behavior Analysis - Level 3	1,995	1,853	1,653
Behavior Analysis Assessment	32	21	32
Behavior Assistant Services	296	274	276
CDC Monthly Allowance	2,287	2,303	2,335
Consumable Medical Supplies	6,327	5,542	5,685
Dietitian Services	69	66	69
Durable Medical Equipment	66	52	54
Environmental Accessibility Adaptations	11	4	4
Environmental Accessibility Adaptations -- Assessment	4	19	9
Incontinence Supplies; All Types	6,509	5,993	6,092
Life Skills Development - Level 1 (Companion)	3,200	3,123	3,081
Life Skills Development - Level 2 (Supported Empl - Group)	28	28	10
Life Skills Development - Level 2 (Supported Empl - Individual)	1,436	1,371	1,267
Life Skills Development - Level 3 (ADT) - Facility Based	10,960	10,869	10,655
Life Skills Development - Level 3 (ADT) - Off Site	115	116	114

# 1. Services Received by Waiver Enrollees (continued)

**Table 1d: Clients Using Individual Waiver Services (continued)**

Service Description	iBudget		
	Jul-15	Aug-15	Sep-15
Occupational Therapy	431	414	402
Occupational Therapy - Assessment	3	2	0
Personal Emergency Response System - Installation	0	2	2
Personal Emergency Response System - Service	137	121	79
Personal Supports	10,102	10,127	9,934
Physical Therapy	878	863	825
Physical Therapy - Assessment	13	5	2
Private Duty Nursing	235	234	234
Residential Habilitation - Assisted Living Facility (month)	346	346	342
Residential Habilitation - Behavioral Focus (day)	53	38	24
Residential Habilitation - Intensive Behavior (day)	392	393	393
Residential Habilitation - Intensive Behavior CTEP (day)	157	158	155
Residential Habilitation - Standard (day)	252	230	214
Residential Habilitation (month)	7,132	7,121	7,055
Residential or Skilled Nursing - LPN	117	113	106
Residential or Skilled Nursing - RN	38	35	36
Respiratory Therapy	32	31	30
Respiratory Therapy - Assessment	0	0	1
Respite (under 21 only)	1,712	1,678	1,548
Respite, Skilled	4	4	2
Special Medical Home Care	18	18	19
Specialized Mental Health Assessment	5	8	5
Specialized Mental Health Counseling	229	196	176
Speech Therapy	448	444	417
Speech Therapy - Assessment	0	0	0
Support Coordination	23,947	23,551	23,239
Support Coordination - CDC Consultant	1,715	1,686	1,700
Support Coordination (Enhanced)	5	6	6
Support Coordination (Limited)	2,810	2,740	2,625
Support Coordination (Limited) - CDC	365	364	364
Supported Living Coaching	3,532	3,455	3,239
Transportation - mile	79	80	57
Transportation - month	1,129	1,122	1,118
Transportation - trip	6,783	6,629	6,309
<b>Unduplicated Client Count</b>	<b>30,133</b>	<b>30,085</b>	<b>30,037</b>

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.  
Source: Medicaid HP Data Warehouse as of November 1, 2015.

## 2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in July, August, and September 2015 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan and other state and local resources.

Table 2a: Client Counts of Services Provided by APD to Clients Waiting for Waiver Services as of July 1, August 1, and September 1, 2015

	Service Month		
	Jul-15	Aug-15	Sep-15
<b>Total Waiting List at Beginning of Month*</b>	<b>21,331</b>	<b>21,385</b>	<b>21,473</b>
<b>Paid Service</b>			
ADULT DAY TRAINING	273	315	336
BEHAVIOR ANALYSIS	10	10	11
COMMUNITY BASED EMPLOYMENT	245	250	233
DENTAL SERVICES			1
ELIGIBILITY AND PLANNING	7	9	2
HOME ASSISTANCE	45	27	22
LONG-TERM RESIDENTIAL SVS	26	31	45
MEDICAL SERVICES	2	5	2
PERSONAL/FAMILY CARE SVS	40	48	37
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY		1	
PSYCHOLOGICAL THERAPY	58	63	58
RECREATIONAL THERAPY	1		
RESIDENTIAL HABILITATION SVS	29	28	26
RESPIRE CARE	64	84	52
SPEECH THERAPY			
SUPPLIES/EQUIPMENT	32	51	40
SUPPORT COORDINATION	524	548	469
SUPPORTED LIVING	40	38	23
TRANSPORTATION	129	144	143
PRESUPPORTED TRANSITIONAL LIVING	35	39	32
<b>Unduplicated Client Total</b>	<b>1,243</b>	<b>1,330</b>	<b>1,307</b>

Source: Waiting List and ABC Databases as of November 1, 2015.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

**Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of July 1, August 1, and September 1, 2015\***

Row		Service Month		
		Jul-15	Aug-15	Sep-15
1	<b>Total Waiting List at Beginning of Month*</b>	<b>21,331</b>	<b>21,385</b>	<b>21,473</b>
2	<b>Client Count for APD Non-Medicaid Services</b>	<b>1,243</b>	<b>1,330</b>	<b>1,307</b>
3	<b>Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***</b>	<b>11,045</b>	<b>11,172</b>	<b>11,036</b>
4	<b>All Waiting List Clients Receiving Services**</b>	<b>11,738</b>	<b>11,909</b>	<b>11,774</b>
5	<b>Count of Waiting List Clients Not Receiving Services</b>	<b>9,593</b>	<b>9,476</b>	<b>9,699</b>
6	<b>Percent of Waiting List Not Receiving Services</b>	<b>45.0%</b>	<b>44.3%</b>	<b>45.2%</b>

\*Clients are counted only once regardless of the number of different services they received.

\*\* Unduplicated count for the clients receiving Medicaid services or APD services or both.

\*\*\*Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of November 1, 2015.



### 3. Waiver Enrollment in Fiscal Year 2015-16

Table 3 summarizes new waiver enrollments for FY 2015-16. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

Month Enrolled	Crisis Enrollment	Waiting List Enrollment	CBC Children Enrollment	Total
Jul-13	27	568	5	600
Aug-13	27	55	3	85
Sep-13	57	18	3	78
Oct-13	58	7	2	67
Nov-13	43	385	3	431
Dec-13	49	56	4	109
Jan-14	40	42	3	85
Feb-14	39	14	2	55
Mar-14	35	6	6	47
Apr-14	44	15	4	63
May-14	63	10	4	77
Jun-14	52	137	5	194
Jul-14	54	94	5	153
Aug-14	41	649	4	694
Sep-14	57	37	2	96
Oct-14	61	43	3	107
Nov-14	61	16	3	80
Dec-14	53	10	7	70
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Apr-15	84		9	93
May-15	76		5	81
Jun-15	55	11	4	70
Jul-15	57	16	16	89
Aug-15	19	6	8	33
Sep-15	36	11	18	65
<b>Total</b>	<b>1,319</b>	<b>2,251</b>	<b>141</b>	<b>3,711</b>

Source: APD Database as of November 1, 2015 and other ABC tracking systems.

## **4. Length of Wait for Waiver Services**

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services  
as of November 1, 2015

Length of Wait	Date Placed on Waiting List	Waiting List Clients	
		Count	Percent
1 Year or Less	November 1, 2014 or later	1,886	8.8
1+ to 2 Years	November 1, 2013 - October 31, 2014	1,534	7.1
2+ to 3 Years	November 1, 2012 - October 31, 2013	1,229	5.7
3+ to 4 Years	November 1, 2011 - October 31, 2012	1,460	6.8
4+ to 5 Years	November 1, 2010 - October 31, 2011	1,522	7.1
5+ to 6 Years	November 1, 2009 - October 31, 2010	1,617	7.5
6+ to 7 Years	November 1, 2008 - October 31, 2009	1,709	8.0
7+ to 8 Years	November 1, 2007 - October 31, 2008	1,634	7.6
8+ to 9 Years	November 1, 2006 - October 31, 2007	1,774	8.3
9+ to 10 Years	November 1, 2005 - October 31, 2006	1,797	8.4
More than 10 Years	On or before October 31, 2005	5,297	24.7
<b>Total Waiting List*</b>		21,459	100.0

Source: Waiting List Database as of November 1, 2015.

## 5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2015-16 Waiver Budget Forecast

<b>FY 2015-16 APD WAIVER PROJECTIONS</b>	<b>General Revenue</b>	<b>Trust Funds</b>	<b>Total</b>
<b>Blended rate adopted by the SSEC for FY 2015-16</b>	<b>0.3949</b>	<b>0.6051</b>	
<b>Appropriation</b>	<b>\$ 393,639,949</b>	<b>\$ 601,153,957</b>	<b>\$ 994,793,906</b>
<b>Corrected FMAP Adjustment</b>			<b>\$ -</b>
<b>Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver</b>			<b>\$ -</b>
<b>New Appropriation</b>	<b>\$ 393,639,949</b>	<b>\$ 601,153,957</b>	<b>\$ 994,793,906</b>
<b>Less FY 2014-15 Projected Deficit</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Less Projected FY 2015-16 Expenditures</b>	<b>\$ (393,639,949)</b>	<b>\$ (601,153,957)</b>	<b>\$ (994,793,906)</b>
<b>Less FY 2014-15 Expenditures Paid in FY 2015-16 (Due to Reversion)</b>	<b>\$ (57,074,673)</b>	<b>\$ (87,454,760)</b>	<b>\$ (144,529,433)</b>
<b>Total Projected APD Waiver Balance FY 2015-16</b>	<b>\$ (57,074,673)</b>	<b>\$ (87,454,760)</b>	<b>\$ (144,529,433)</b>

*Per section 40, Chapter 2015-232, Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.*